

Docket No. 206269US0PCT

JUL 12 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Shigeru KAWAHARA, et al.

SERIAL NO: 09/830,159

GAU: 1621

FILED: June 27, 2001

EXAMINER: Zucker

FOR: CRYSTALLIZATION PROCESSES FOR THE FORMATION OF STABLE CRYSTALS OF ASPARTAME DERIVATIVE

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

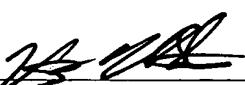
07/14/2004 WABRHAM1 00000036 09830159

01 FC:1806

180.00 0P

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.  
Norman F. Oblon

  
Stephen G. Baxter  
Registration No. 32,884

Customer Number

22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

Vincent K. Shier, Ph.D.  
Registration No: 50,552



Form PTO 1449 (Modified)  LIST OF REFERENCES CITED BY APPLICANT		ATTY DOCKET NO. 206269US0PCT		SERIAL NO. 09/830,159		
		APPLICANT Shigeru KAWAHARA, et al.				
		FILING DATE June 27, 2001		GROUP 1621		
		<b>U.S. PATENT DOCUMENTS</b>				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS SUB CLASS	FILING DATE IF APPROPRIATE
AA		4,579,747	04/1986	Sugiyama et al		
AB		4,950,780	08/1990	Tanaka et al		
AC		5,728,862	03/1998	Prakash		
AD		6,372,278	04/2002	Ishida et al		
AE		6,673,838	01/2004	Hadfield et al		
AF						
AG						
AH						
AI						
AJ						
AK						
AL						
AM						
AN						
<b>FOREIGN PATENT DOCUMENTS</b>						
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES      NO	
AO		EP 0 279 542	08/1988	Europe		
AP						
AQ						
AR						
AS						
AT						
AU						
AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>						
	AW					
	AX					
	AY					
	AZ				<input type="checkbox"/> Additional References sheet(s) attached	
Examiner				Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						